



Certificate of Registration

QUALITY MANAGEMENT SYSTEM - FIN 9001:2017

This is to certify that:

Apex-vestnex

Gotthardstrasse 59, 6410 Goldau, Switzerland.

Holds Certificate No: CHE-108.297.483

and operates a QualityManagement Systemwhich complies with the requirements of FIN 9001:2017 for the following scope:

Invest globally in stocks, options, futures, currencies, bonds and funds

For and on behalf of FINMA:

Urban Angehrn, FINMA Systems Certification Director

Original Registeration Date: 2006.3.21

Latest Revision Date: 2006.9.11

Effective Date: 2006.3.21 Expiry Date: 2026.3.21

This certificate remains the property of FINMA and shall be returned immediately upon request. An electronic certificate can be authenticated <u>online</u>. Printed copies can be validated at www.finma.ch/clientDirectory

To be read in conjunction with the scope above or the attached appendix.